

# A UNIQUE DECOMPOSITION THEOREM FOR 3-MANIFOLDS WITH CONNECTED BOUNDARY

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**1. Introduction.** The concern of this paper is the class of triangulated, orientable, connected compact 3-manifolds with connected nonvacuous boundary. If  $M$  and  $M'$  are two manifolds in this class, one forms their *disk sum*  $M \triangle M'$  by pasting a 2-cell on  $\text{bd}(M)$  to a 2-cell on  $\text{bd}(M')$ . Up to homeomorphism, the operation of disk sum is well defined, associative, and commutative. One says that a manifold  $P$  in this class is  $\triangle$ -*prime* if  $P$  is not a 3-cell, and whenever  $P$  is homeomorphic to a disk sum  $M \triangle M'$ , either  $M$  or  $M'$  is a 3-cell.

The goal of this paper is the proof of the following theorem, which answers a question of Milnor [3, p. 6] in the affirmative:

**DECOMPOSITION THEOREM.** *Let  $M$  be a triangulated, orientable, connected compact 3-manifold with connected nonvacuous boundary. If  $M$  is not a 3-cell, then  $M$  is homeomorphic to a disk sum  $P_1 \triangle \cdots \triangle P_n$  of  $\triangle$ -prime 3-manifolds. The summands  $P_i$  are uniquely determined up to order and homeomorphism.*

It will be assumed from now on that any *manifold* is triangulated, orientable, connected and compact. Any map considered is piecewise linear. It will occasionally be convenient to refer to the 3-cell (which is an identity element for the disk sum) or the 3-sphere (which is an identity element for the connected sum—see below) as a *trivial* 3-manifold.

The *connected sum*  $M \# M'$  of two 3-manifolds is obtained by removing from each the interior of a 3-cell and then pasting the resulting boundary components together. Up to homeomorphism, the operation of connected sum is well defined, associative, and commutative. One says that a 3-manifold  $P$  is  $\#$ -*prime* if  $P$  is not a 3-sphere, and whenever  $P$  is homeomorphic to a connected sum  $M \# M'$ , either  $M$  or  $M'$  is a 3-sphere.

**DEFINITION.** Let  $PCC$  denote the class of 3-manifolds  $M$  with connected nonvacuous boundary such that every 2-sphere in  $M$  bounds a 3-cell.

Most of the work of this paper is in proving that the Decomposition Theorem holds for 3-manifolds in the class  $PCC$ , which will be done in §3. The lemmas of

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§2 provide major assistance for that proof. The extension of the Decomposition Theorem from 3-manifolds in *PCC* to all 3-manifolds with connected nonvacuous boundary will be accomplished in §4 by an application of Theorems 1 and 2 below. One observes the analogy between the Decomposition Theorem and Theorem 2.

**THEOREM 1.** *Let  $M$  be a 3-manifold with connected nonvacuous boundary. Then  $M$  is homeomorphic to a connected sum of a (possibly trivial) 3-manifold in *PCC* and a (possibly trivial) 3-manifold with vacuous boundary. The summands are uniquely determined up to homeomorphism.*

The proof of Theorem 1 follows readily from remark 1 of Milnor [3, p. 5].

**THEOREM 2.** *Let  $M$  be a nontrivial 3-manifold with vacuous boundary. Then  $M$  is homeomorphic to a connected sum  $P_1 \# \cdots \# P_n$  of  $\#$ -prime 3-manifolds. The summands  $P_i$  are uniquely determined up to order and homeomorphism.*

The present Theorem 2 is Theorem 1 of Milnor [3].

*Extension of results.* The author uses the methods of this paper to show in [1] that there is a unique decomposition theorem for compact, orientable 3-manifolds with several boundary components. It is also shown in [1] that the problem of classifying the compact, orientable 3-manifolds with several boundary components reduces to the problem of classifying the  $\triangle$ -prime 3-manifolds.

**2. Some lemmas for Theorem 3.** Let  $R$  be a 2-manifold of genus zero whose boundary has  $n+1$  components, for some nonnegative integer  $n$ , i.e.  $R$  is a disk with  $n$  holes. If a 3-manifold  $M$  is homeomorphic to  $R \times [0, 1]$ , then  $M$  is called a *handlebody of genus  $n$* . In the case that  $n=0$ , one says  $M$  is a *trivial handlebody*. In the case that  $n=1$ , one says that  $M$  is a *handle*.

**LEMMA 1.** *Let  $T$  be the union of a finite family of handlebodies  $K_1, \dots, K_n$  (some of which, perhaps, are trivial) such that the intersection of any  $K_j$  with the union of all the other handlebodies  $K_i$  is a finite union of disjoint disks on  $\text{bd}(K_j)$ . If  $T$  is orientable and connected, then  $T$  is a (possibly trivial) handlebody.*

The proof of Lemma 1, which is omitted here, is by an induction on the number  $n$ .

**LEMMA 2.** *Let  $M$  be a 3-manifold and let  $D$  be a disk in  $\text{bd}(M)$ . Then there is a 3-cell  $K$  in  $M$ , lying in an arbitrarily small neighborhood of  $D$ , such that  $K \cap \text{bd}(M) = D$ . And  $M \approx \text{cl}(M - K)$ .*

The proof of Lemma 2 is omitted.

**LEMMA 3.** *Let  $Y$  be the union of a finite collection of disjoint 3-manifolds and let  $T$  be the union of a finite collection of disjoint handlebodies, such that  $Y$  and  $T$  intersect only on their boundaries, such that the intersection of  $\text{bd}(T)$  with each component of  $\text{bd}(Y)$  is the union of a finite nonvoid family of disjoint disks, and such that  $Y \cup T$  is orientable and connected. Then  $Y$  contains a family of 3-cells, whose union will be denoted by  $K$ , such that for  $Y' = \text{cl}(Y - K)$  and  $T' = T \cup K$  the following conditions*

hold: (i)  $Y' \approx Y$ . (ii)  $T'$  is the union of a finite collection of disjoint handlebodies. (iii)  $Y'$  and  $T'$  intersect only on their boundaries. (iv) The intersection of  $\text{bd}(T')$  with each component of  $\text{bd}(Y')$  is a single disk.

**Proof.** For each component  $R_j$  of  $\text{bd}(Y)$ , let  $D_j$  be a disk which contains  $\text{bd}(T) \cap R_j$  in its interior and let  $K_j$  be a 3-cell in  $Y$  such that  $K_j \cap R_j = D_j$ , with the 3-cells  $K_j$  chosen so that they are mutually disjoint (see Lemma 2). Let  $K$  be the union of the 3-cells  $K_j$ . It follows from Lemmas 1 and 2 that conditions (i) and (ii) of the conclusion hold. Conditions (iii) and (iv) of the conclusion evidently follow from the construction.

**DEFINITION.** Let  $M$  and  $N$  be manifolds, and let  $f: M \rightarrow N$  be an imbedding. One says that  $f$  is a *proper imbedding* if  $f(\text{bd}(M)) \subset \text{bd}(N)$  and  $f(\text{int}(M)) \subset \text{int}(N)$ .

In Lemma 4 below and thereafter, a *homeomorphism*  $(X, U) \rightarrow (Y, V)$  will indicate a homeomorphism of  $X$  onto  $Y$  which carries a subspace  $U$  of  $X$  onto a subspace  $V$  of  $Y$ .

**LEMMA 4.** Let  $M$  be a 3-manifold in the class PCC. Let  $D$  be a disk, properly imbedded in  $M$ . Let  $E$  be another disk, also properly imbedded in  $M$ , such that  $\text{bd}(D) \cap \text{bd}(E)$  is void and such that  $\text{bd}(D) \cup \text{bd}(E)$  is the boundary of an annulus on  $\text{bd}(M)$ . Then there is a homeomorphism  $(M, D) \rightarrow (M, E)$ .

The proof of Lemma 4, which is omitted here, is by a general position argument to reduce the intersection of the disks to a family of simple loops and an induction argument involving cutting at an innermost intersection loop on one of the disks.

**LEMMA 5.** Let  $Y$  and  $Y'$  be homeomorphic 3-manifolds with nonempty boundary, and let  $C$  and  $C'$  be 3-cells such that  $C \cap Y = \text{bd}(C) \cap \text{bd}(Y)$  and  $C' \cap Y' = \text{bd}(C') \cap \text{bd}(Y')$ , and such that  $\text{bd}(C)$  intersects each component of  $\text{bd}(Y)$  in a disk and  $\text{bd}(C')$  intersects each component of  $\text{bd}(Y')$  in a disk. If  $Y \cup C$  and  $Y' \cup C'$  are orientable, then  $Y \cup C \approx Y' \cup C'$ .

The proof of Lemma 5 is omitted.

**LEMMA 6.** Let  $P$  be a  $\triangle$ -prime 3-manifold in the class PCC, and let  $E_1, \dots, E_n$  be a collection of disjoint disks, each properly imbedded in  $P$ . Let  $T$  be the union of the closures of the components of  $P - (E_1 \cup \dots \cup E_n)$  which are 3-cells, and let  $Y = \text{cl}(P - T)$  be nonvoid. Let  $C$  be a 3-cell such that  $C$  and  $Y$  intersect only on their boundaries, such that  $\text{bd}(C)$  intersects each component of  $\text{bd}(Y)$  in a disk, and such that  $C \cup Y$  is orientable. Then  $Y \cup C \approx P$ .

**Proof.** By Lemma 1, each component of  $T$  is a handlebody. Applying Lemma 3 to  $Y$  and  $T$ , one obtains  $K$ ,  $Y'$ , and  $T'$  as in the conclusion of Lemma 3. The number of components of  $T'$  is the number of components of  $\text{bd}(P)$ , by condition (iv) of Lemma 3. Thus  $T'$  is a single handlebody. Choose a disk  $D$  on  $\text{bd}(T')$  such that  $D$  contains  $T' \cap Y'$  in its interior. By Lemma 2, there is a 3-cell  $B$  in the handlebody  $T'$  such that  $B \cap \text{bd}(T') = D$ . Since  $Y$  is nonvoid,  $Y' \cup B$  is a

nontrivial 3-manifold in *PCC*. One observes that  $P = (Y' \cup B) \triangle \text{cl}(T' - B)$ . Since  $T' \approx \text{cl}(T' - B)$ , since  $P$  is  $\triangle$ -prime, and since  $Y' \cup B$  is nontrivial, the handlebody  $T'$  must be trivial. The conclusion now follows from Lemma 5 because  $Y \approx Y'$ .

**LEMMA 7.** *Let  $P$  be a  $\triangle$ -prime 3-manifold in the class *PCC* and let  $E_1, \dots, E_n$  be a collection of disjoint disks, each properly imbedded in  $P$ . (a) The closure in  $P$  of all but possibly one component of  $P - (E_1 \cup \dots \cup E_n)$  is a 3-cell. (b) If the closure in  $P$  of every component of  $P - (E_1 \cup \dots \cup E_n)$  is a 3-cell, then  $P$  is a handle.*

**Proof of (a).** Let  $Y$  be the union of the closures of the components of  $P - (E_1 \cup \dots \cup E_n)$  which are not 3-cells. Let  $C$  be a 3-cell such that  $C$  and  $Y$  intersect only on their boundaries and  $\text{bd}(C)$  intersects each component of  $\text{bd}(Y)$  in a disk, and  $Y \cup C$  is orientable. By Lemma 6,  $Y \cup C \approx P$ . One first considers the case in which  $Y$  is not connected. In this case, let  $D$  be a disk on  $\text{bd}(C)$  which contains in its interior all of the components of the intersection of  $\text{bd}(C)$  with some component of  $Y$ . By Lemma 2, there is a 3-cell  $B$  in  $C$  such that  $B \cap \text{bd}(C) = D$ . The disk  $B \cap \text{cl}(C - B)$  splits  $Y \cup C$  into two nontrivial disk summands, which contradicts the hypothesis that  $P$  is  $\triangle$ -prime. So the case that  $Y$  is not connected cannot occur.

Now let  $Y_1$  be the closure of a component of  $P - (E_1 \cup \dots \cup E_n)$  which is not a 3-cell. If  $Y \neq Y_1$ , then  $Y_1 \cap \text{cl}(Y - Y_1)$  is the union of a subset of the disks  $E_1, \dots, E_n$ . Renumber the disks so that  $Y_1 \cap \text{cl}(Y - Y_1) = E_1 \cup \dots \cup E_r$ . For  $j = 1, \dots, r$ , let  $D_j$  be a disk in  $\text{bd}(Y_1)$  which contains  $E_j$  in its interior, and let  $B_j$  be a 3-cell in  $Y_1$  such that  $B_j \cup \text{bd}(Y_1) = D_j$  and such that the 3-cells  $B_j$  are mutually disjoint (see Lemma 2). For  $j = 1, \dots, r$ , let  $E_{n+j}$  be the disk  $B_j \cap \text{cl}(Y - B_j)$ . One observes that the disks  $E_1, \dots, E_{n+r}$  are disjoint and properly imbedded in  $P$ . One also observes that the union  $Y'$  of the closures of the components of  $P - (E_1 \cup \dots \cup E_{n+r})$  which are not 3-cells is not connected, for one of its components is  $\text{cl}(Y_1 - (B_1 \cup \dots \cup B_r))$  and the union of the rest of its components is  $\text{cl}(Y - Y_1)$ . But as above, this contradicts the hypothesis that  $P$  is  $\triangle$ -prime. Therefore  $Y_1 = Y$ , which completes (a).

**Proof of (b).** Suppose that the closure in  $P$  of every component of  $P - (E_1 \cup \dots \cup E_n)$  is a 3-cell. The intersection of two of these 3-cells is the union of some of the disks  $E_j$ . Therefore, these 3-cells satisfy the criteria for the handlebodies of Lemma 1. Hence,  $P$  is a handlebody. Since  $P$  is  $\triangle$ -prime, its genus is one.

**3. Decomposition in *PCC*.** This entire section is devoted to the proof of Theorem 3, which is the restriction of the Decomposition Theorem to 3-manifolds in the class *PCC*.

**THEOREM 3.** *Let  $M$  be a nontrivial 3-manifold in the class *PCC*. (a) Then  $M$  is homeomorphic to a sum  $P_1 \triangle \dots \triangle P_n$  of  $\triangle$ -prime 3-manifolds. (b) The summands  $P_i$  are uniquely determined up to order and homeomorphism.*

**Proof of (a).** Suppose that  $M$  is not already  $\triangle$ -prime. Then  $M$  is homeomorphic to a disk sum  $M_1 \triangle M_2$  where  $M_1$  and  $M_2$  are both nontrivial. One observes that a 3-manifold in the class  $PCC$  is bounded by a 2-sphere if and only if it is a 3-cell. Hence, the genera of  $\text{bd}(M_1)$  and  $\text{bd}(M_2)$  are positive. One also observes that  $\text{genus}(\text{bd}(M)) = \text{genus}(\text{bd}(M_1)) + \text{genus}(\text{bd}(M_2))$ . Therefore, the decomposing process terminates in not more than  $\text{genus}(\text{bd}(M))$  steps, which proves (a).

**Proof of (b).** Suppose that  $M \approx P_1 \triangle \cdots \triangle P_n$ , where  $P_1, \dots, P_n$  are  $\triangle$ -prime 3-manifolds. Then let  $K$  be a 3-cell, and let  $D_1, \dots, D_n$  be disjoint disks on  $\text{bd}(K)$ . The 3-manifold  $M^*$  is obtained by pasting a disk on  $\text{bd}(P_j)$  to  $D_j$ , for  $j=1, \dots, n$  (see Figure 1). Since  $M^* \approx P_1 \triangle \cdots \triangle P_n$ , it follows that  $M^*$  is homeomorphic to  $M$ . It will be convenient to work with  $M^*$ .

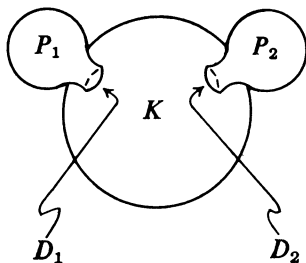


FIGURE 1

Let  $E$  be a properly imbedded disk in  $M^*$  such that  $M^* - E$  has two components and such that the closure of each of these components is nontrivial. The closures of the components of  $M^* - E$  will be called  $M_1$  and  $M_2$ .

From an induction on the number  $n$  of  $\triangle$ -prime summands  $P_i$  of  $M^*$ , it follows that (b) of the theorem can be proved by establishing the following statement (which will be done):

A. There is a renumbering of the  $P_i$ 's such that for some integer  $r$  with  $1 \leq r \leq n$ ,  $M_1 \approx P_1 \triangle \cdots \triangle P_r$  and  $M_2 \approx P_{r+1} \triangle \cdots \triangle P_n$ .

It will be assumed that the components of  $E \cap (D_1 \cup \cdots \cup D_n)$  are simple arcs and simple loops, each a crossing of surfaces, for a general position argument indicates that it suffices to consider this case.

*Basis step.* Suppose that  $E \cap (D_1 \cup \cdots \cup D_n)$  is void. One assumes that the disk  $E$  lies in some  $P_j$ , for otherwise  $E$  would lie in the 3-cell  $K$ , which would imply statement A immediately. Surely  $P_j - E$  has two components, for otherwise  $M^* - E$  would have but one component. Moreover, since  $P_j$  is  $\triangle$ -prime, the closure  $X$  of one of the two components of  $P_j - E$  is a 3-cell. The disk  $D_j$  lies on  $\text{bd}(X)$ , for otherwise  $X$  would be the closure of a component of  $M^* - E$ , i.e.  $X$  would be  $M_1$  or  $M_2$ , contradicting their nontriviality. If  $X \subset M_1$ , then

$$M_2 \approx P_j \quad \text{and} \quad M_1 \approx P_1 \triangle \cdots \triangle P_{j-1} \triangle P_{j+1} \triangle \cdots \triangle P_n.$$

Obviously, a similar decomposition results if  $X \subset M_2$ . Therefore, statement A holds.

The statements below numbered (3.1), ..., (3.7) are important intermediate results in the proof of the induction step.

*Induction step.* Let  $E \cap (D_1 \cup \dots \cup D_n)$  have exactly  $m$  components.

(3.1) If any component of  $E \cap (D_1 \cup \dots \cup D_n)$  is a loop, then statement A holds.

**Proof of (3.1).** If any component of  $E \cap (D_1 \cup \dots \cup D_n)$  is a loop, then there is a component  $k$  of  $E \cap (D_1 \cup \dots \cup D_n)$  which is a loop and which bounds a subdisk  $D'$  of some  $D_j$  such that  $D' \cap E = k$ . Let  $E'$  be the subdisk of  $E$  which  $k$  bounds.

Let  $E_1$  be a disk which lies near but does not intersect the disk  $(E - E') \cup D'$ , which is properly imbedded in  $M^*$ , which lies in general position with respect to  $D_1 \cup \dots \cup D_n$ , and which meets  $D_1 \cup \dots \cup D_n$  in fewer than  $m$  loops (see Figure 2). By Lemma 4, there is a homeomorphism  $f: (M^*, E) \rightarrow (M^*, E_1)$ . Let  $M'_1 = f(M_1)$  and  $M'_2 = f(M_2)$ . Since the number of components of  $E_1 \cap (D_1 \cup \dots \cup D_n)$  is less than  $m$ , there is a renumbering of the  $P_i$ 's such that  $M'_1 \approx P_1 \triangle \dots \triangle P_r$  and  $M'_2 \approx P_{r+1} \triangle \dots \triangle P_n$ . Hence,  $M_1 \approx P_1 \triangle \dots \triangle P_r$  and  $M_2 \approx P_{r+1} \triangle \dots \triangle P_n$ . Thus, statement (3.1) is proved.

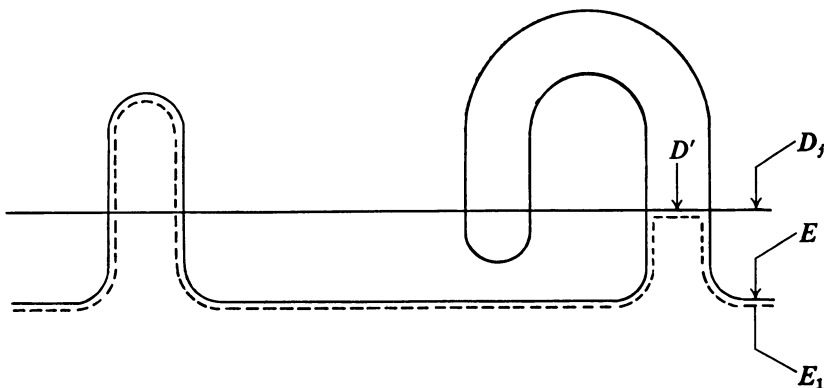


FIGURE 2

It will hereafter be assumed that every component of  $E \cap (D_1 \cup \dots \cup D_n)$  is an arc. This implies, of course, that for  $j=1, \dots, n$ , each component of the intersection of the disk  $E$  with the  $\triangle$ -prime summand  $P_j$  is a properly imbedded disk.

**DEFINITION.** A  $\triangle$ -prime summand  $P_j$  of  $M^*$  is called a *special handle* if the closure of every component of  $P_j - E$  is a 3-cell. (It follows from (b) of Lemma 7 that a special handle actually is a handle.)

**DEFINITION.** Let  $P_j$  be a  $\triangle$ -prime summand of  $M^*$  such that  $P_j$  is not a special handle. The *essence* of  $P_j$ , hereafter denoted by  $Y_j$ , is the closure in  $P_j$  of the

component of  $P_j - E$  which is not a 3-cell. (It follows from (a) of Lemma 7 that  $Y_j$  is well defined.)

One rennumbers  $P_1, \dots, P_n$  so that  $P_1, \dots, P_u$  are the summands whose essences lie in  $M_1$ , that  $P_{u+1}, \dots, P_s$  are the summands whose essences lie in  $M_2$ , and that  $P_{s+1}, \dots, P_n$  are the special handles.

(3.2) Each component of  $\text{cl}(M_1 - (Y_1 \cup \dots \cup Y_u))$  is a (possibly trivial) handlebody.

**Proof of (3.2).** A component of  $\text{cl}(M_1 - (Y_1 \cup \dots \cup Y_u))$  is the union of 3-cells, each of which is either the closure of a component of  $K - E$  or the closure of a component of some  $P_j - E$ . Each component of the intersection of any two of these 3-cells is the closure of a component of some  $D_i - E$ . Therefore, each component of  $\text{cl}(M_1 - (Y_1 \cup \dots \cup Y_u))$  satisfies the criteria for the 3-manifold  $M$  of Lemma 1. Hence, each is a handlebody.

By an application of Lemma 3 to the union of the essences  $Y_1, \dots, Y_u$  and to  $\text{cl}(M_1 - (Y_1 \cup \dots \cup Y_u))$ , one now obtains a family of handlebodies, whose union will be called  $T'$ , and one obtains for each  $j=1, \dots, u$  a submanifold  $Y'_j$  of  $Y_j$  which is homeomorphic to  $Y_j$  and which satisfies the other properties specified in the proof of Lemma 3.

(3.3)  $T'$  is a handlebody (i.e.  $T'$  is connected).

**Proof of (3.3).** Otherwise, the boundary of  $M_1 (= \text{bd}(T' \cup Y'_1 \cup \dots \cup Y'_u))$  would not be connected. (See condition (iv) in the conclusion of Lemma 3.)

(3.4) For  $j=1, \dots, u$ ,  $Y'_j \cap T'$  is the union of a family of disjoint disks, one lying on each component of  $\text{bd}(Y'_j)$ .

**Proof of (3.4).** This follows from conditions (iii) and (iv) of the conclusion of Lemma 3.

Now let  $F_1, \dots, F_u$  be disjoint disks on  $\text{bd}(T')$  such that for  $j=1, \dots, u$ ,  $Y'_j \cap T'$  lies in the interior of  $F_j$ . And let  $B_1, \dots, B_u$  be disjoint 3-cells in the handlebody  $T'$  such that for  $j=1, \dots, u$ ,  $B_j \cap \text{bd}(T') = F_j$ . Define

$$T'' = \text{cl}(T' - (B_1 \cup \dots \cup B_u)).$$

(3.5) For  $j=1, \dots, u$ ,  $Y'_j \cup B_j \approx P_j$ .

**Proof of (3.5).** This follows immediately from statement (3.4) and Lemma 6.

(3.6)  $M_1 \approx P_1 \triangle \dots \triangle P_u \triangle T''$ .

**Proof of (3.6).** By the construction in the paragraph preceding statement (3.5),  $M_1 \approx (Y'_1 \cup B_1) \triangle \dots \triangle (Y'_u \cup B_u) \triangle T''$ . This decomposition of  $M_1$  and statement (3.5) yield statement (3.6) immediately.

Correspondingly, the following statement holds:

(3.7) The 3-manifold  $M_2$  is homeomorphic to the disk sum of  $P_{u+1} \triangle \dots \triangle P_s$  and a (possibly trivial) handlebody  $U''$ .

It follows from the Grushko-Neumann theorem (see Kurosh [2, p. 58]) that the sum of genus ( $T''$ ) and genus ( $U''$ ) is  $n-s$ . Therefore, statement A holds.

**4. Proof of the Decomposition Theorem.** Let  $N$  be a 3-manifold with vacuous boundary. In this section,  $N^*$  denotes the 3-manifold obtained by removing from  $N$  the interior of a 3-cell.

**LEMMA 8.** *Let  $M$  be a 3-manifold with connected, nonvacuous boundary, and let  $N$  be a 3-manifold with vacuous boundary. Then  $M \# N \approx M \triangle N^*$ .*

**Proof.** Let  $E$  be the disk in  $M \triangle N^*$  across which  $M$  and  $N^*$  are pasted, and let  $B$  be the intersection of  $N^*$  and the star of the disk  $\text{bd}(N^*) - \text{int}(E)$  in the second barycentric subdivision of  $M \triangle N^*$ . The 3-manifold obtained by removing  $\text{cl}(N^* - B)$  from  $M \triangle N^*$  and filling in a 3-cell is homeomorphic to  $M$ , and  $\text{cl}(N^* - B)$  is homeomorphic to  $N^*$ . Therefore  $M \triangle N^* \approx M \# N$ .

**LEMMA 9.** *Let  $M$  and  $N$  be 3-manifolds in the class  $PCC$ . Then the disk sum  $M \triangle N$  is also in the class  $PCC$ .*

**Proof.** It is obvious that  $\text{bd}(M \triangle N)$  is nonvacuous and connected. It will be shown that every 2-sphere in  $M \triangle N$  bounds a 3-cell. Let  $D$  be the disk in  $M \triangle N$  across which  $M$  and  $N$  are pasted, and let  $S$  be a 2-sphere in  $M \triangle N$ . A general position argument indicates that it suffices to consider the case in which each component of  $S \cap D$  is a simple loop in the interior of  $D$  at an actual crossing of the surfaces.

*Basis step.* If  $S \cap D$  is empty, then  $S$  lies either entirely in  $M$  or entirely in  $N$ , in which case  $S$  bounds a 3-cell in  $M$  or  $N$  respectively, because the 3-manifolds  $M$  and  $N$  are in the class  $PCC$ .

*Induction step.* Let  $S \cap D$  have  $n$  components,  $n > 0$ . There is a component  $k$  of  $S \cap D$  which bounds a disk  $R$  on the 2-sphere  $S$  such that  $R \cap D = k$ . Let  $E$  be the subdisk of  $D$  which the loop  $k$  bounds. For definiteness, one supposes that the disk  $R$  lies in the 3-manifold  $M$ . Let  $U$  be a neighborhood in  $M$  of the disk  $R$  and let  $g: R \times [-1, 1] \rightarrow U$  be a homeomorphism such that the following conditions hold:

- (i) For all points  $x$  in  $R$ ,  $g(x, 0) = x$ .
- (ii)  $U \cap \text{bd}(M) = g(k \times [-1, 1]) \subset \text{int}(D)$ .
- (iii)  $g(k \times \{-1\}) \subset E$ .

The 2-sphere which is the union of the disks  $E \cup g(k \times [0, 1])$  and  $g(R \times \{1\})$  lies in the 3-manifold  $M$ , and since  $M$  is in the class  $PCC$ , it must bound a 3-cell in  $M$ . It follows that if  $D'$  denotes the disk  $(D - (E \cup g(k \times [0, 1]))) \cup g(R \times \{1\})$ , there is a homeomorphism  $(M \triangle N, D) \rightarrow (M \triangle N, D')$ . Since the number of components of  $S \cap D'$  is fewer than  $n$ , the 2-sphere  $S$  bounds a 3-cell in  $M \triangle N$ . Hence,  $M \triangle N$  is in the class  $PCC$ .

**Proof of the Decomposition Theorem.** Let  $M$  be any 3-manifold with connected nonvacuous boundary. By Theorem 1,  $M$  is homeomorphic to the connected sum of a 3-manifold  $P$  in the class  $PCC$  and a 3-manifold  $Q$  with vacuous boundary.



Let  $P \approx P_1 \triangle \cdots \triangle P_r$  be a  $\triangle$ -prime decomposition of  $P$ , and let  $Q \approx Q_1 \# \cdots \# Q_s$  be a  $\#$ -prime decomposition of  $Q$ . Then

$$\begin{aligned} M &\approx P \# Q \approx (P \# Q_1) \# (Q_2 \# \cdots \# Q_s) \\ &\approx (P \triangle Q_1^*) \# (Q_2 \# \cdots \# Q_s) \approx \cdots \approx P \triangle Q_1^* \triangle \cdots \triangle Q_s^*, \end{aligned}$$

by Lemma 8. Therefore,  $M \approx P_1 \triangle \cdots \triangle P_r \triangle Q_1^* \triangle \cdots \triangle Q_s^*$ , which is a  $\triangle$ -prime decomposition of  $M$ .

Let  $M \approx N_1 \triangle \cdots \triangle N_t$  be another  $\triangle$ -prime decomposition of  $M$ , ordered so that  $N_{u+1}, \dots, N_t$  are the summands whose boundaries are 2-spheres. By Lemma 9, the 3-manifold  $N_1 \triangle \cdots \triangle N_u$  is in the class *PCC*. For  $j=1, \dots, t-u$ , let  $V_j$  be a 3-manifold with vacuous boundary such that  $V_j^* = N_{j+u}$ . The 3-manifold  $V_1 \# \cdots \# V_{t-u}$  has vacuous boundary, and the 3-manifold  $M$  is homeomorphic to the connected sum of  $N_1 \triangle \cdots \triangle N_u$  and  $V_1 \triangle \cdots \triangle V_{t-u}$ . By Theorem 1,

$$N_1 \triangle \cdots \triangle N_u \approx P_1 \triangle \cdots \triangle P_r \quad \text{and} \quad Q_1 \# \cdots \# Q_s \approx V_1 \# \cdots \# V_{t-u}.$$

By Theorem 3,  $u=r$  and there is a reindexing of the  $N_i$ 's such that for  $i=1, \dots, r$ ,  $N_i \approx P_i$ . By Theorem 2,  $s=t-u$  and there is a reindexing of the  $V_i$ 's such that for  $i=1, \dots, t-u$ ,  $V_i \approx Q_i$ , and, therefore,  $V_i^* \approx Q_i^*$ . The proof of the Decomposition Theorem is now complete.

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